

2019.HS

Module Name: Financial Market Law			
Module Code	w.BA.XX.2FMR.XX		
Module Description	Financial market law		
Program and Specialization	<ul style="list-style-type: none"> § Business Administration - Accounting, Controlling, Auditing § Business Administration - Banking and Finance § Business Administration - Banking and Finance (FLEX) § Business Administration - Banking and Finance (PiE) § Business Administration - Economics and Politics § Business Administration - General Management § Business Administration - Risk and Insurance § Business Law 		
Legal Framework	Academic Regulations BSc dated 29.01.2009, Appendix to the Academic Regulations for the degree programs in Business Administration, Business Information Technology, and Business Law, first adopted on 12.05.2009		
Module Category	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Module Type: Compulsory Elective</td> <td style="width: 50%;">Program Phase: Main Study Period</td> </tr> </table>	Module Type: Compulsory Elective	Program Phase: Main Study Period
Module Type: Compulsory Elective	Program Phase: Main Study Period		
ECTS	3		
Organizational Unit	W Abteilung Business Law Leitung		
Module Coordinator	Harald Bärtschi (baes)		
Deputy Module Coordinator	-		
Prerequisite Knowledge	Foundations of Swiss private law and public law		
Contribution to Program Learning Goals (Affected by Module)	<ul style="list-style-type: none"> § Professional Competence § Methodological Competence § Social Competence § Self-Competence 		
Contribution to Program Learning Objectives	Professional Competence <ul style="list-style-type: none"> § Knowing and Understanding Content of Theoretical and Practical Relevance § Apply, Analyze, and Synthesize Content of Theoretical and Practical Relevance § Evaluate Content of Theoretical and Practical Relevance Methodological Competence <ul style="list-style-type: none"> § Problem-Solving & Critical Thinking § Scientific Methodology § Work Methods, Techniques, and Procedures § Information Literacy § Creativity & Innovation Social Competence <ul style="list-style-type: none"> § Written Communication § Oral Communication § Teamwork & Conflict Management § Intercultural Insight & Ability to Change Perspective Self-Competence <ul style="list-style-type: none"> § Self-Management & Self-Reflection § Ethical & Social Responsibility § Learning & Change 		
Module Learning Objectives	Students... <ul style="list-style-type: none"> § are able to explain the main elements of the legal framework of financial markets and the key activities of banks, private insurers, and other financial services providers § are able to study literature and jurisprudence and use their findings to analyze and solve the more complex financial market problems and reflect on them in terms of social consequences. 		
Module Content	<ul style="list-style-type: none"> § Knowledge of the regulatory framework, in particular the supervisory one, for providers of financial services in Switzerland § Knowledge of the foundations and principles of the material banking, capital market, and insurance law (public law and private law) § Understanding of the foundations and principles of financial market criminal law and the enforcement of supervisory law 		
Links to other modules	-		

Methods of Instruction	§ Lecture § Interactive Instruction § Application Tasks § Case Studies § Exercises § Problem-Oriented Teaching § Literature Review	Social Settings Used: § Individual Work § Pair Work		
Digital Resources	§ Reader § Practice and Application Exercises (with Key) § Multiple Choice Tests			
Type of Instruction	Classroom Instruction	Guided Self-Study	Autonomous Self-Study	
Large Class	28 h	20 h		
Small Class	-	-		
Group Instruction	-	-		
Practical Work	-	-		
Seminar	-	-		
Total	28 h	20 h	42 h	
Performance Assessment				
End-of-module exam	Form	Length (min.)	Weighting	
Written exam	Specified documentation	60	100,00 %	
Permitted Resources	No calculator			
Others	Assessment	Length (min.)	Weighting	
-	-	-	-	
Classroom Attendance Requirement	-			
Language of Instruction/Examination	German			
Compulsory Reading	Abegg, A., Bärtschi, H. & Dietrich, A. (2017). Prinzipien des Finanzmarktrechts. 2nd edition. Zürich: Schulthess Verlag. ISBN 978-3-7255-7611-1. (3rd edition, 2019, expected for the 2019 fall semester).			
Recommended Reading	-			
Comments	-			